

Client Profile



All sections are required. Secondary Client Information may be omitted for individual accounts.

PRIMARY CLIENT INFORMATION

Name _____

Company Name _____
(business accounts)

SSN or Tax ID _____ Date of Birth _____

Email Address _____

Cell Phone _____ Other Phone _____

Mailing Address _____

Occupation _____

Employer _____

Country of Citizenship _____

SECONDARY CLIENT INFORMATION

Name _____

SSN or Tax ID _____ Date of Birth _____

Email Address _____

Cell Phone _____ Other Phone _____

Country of Citizenship _____

COMBINED FINANCIAL INFORMATION

Annual Income _____ Net Worth _____

Client Profile

INVESTMENT OBJECTIVE

Which one of the following best describes your attitude toward your investments over your investment time horizon?

- My goal is capital preservation. I am adverse to short-term loss and can accept only minimal fluctuation in my portfolio value.
- My primary goal is capital preservation, and my secondary goal is capital growth. I am willing to accept a low level of fluctuation in my portfolio value.
- My primary goal is capital growth, and my secondary goal is capital preservation. I am willing to accept a moderate level of fluctuation in my portfolio value.
- My goal is capital growth. I am modestly concerned with the level of fluctuation in my portfolio value.
- My only goal is aggressive capital growth. I am not concerned with the level of fluctuation in my portfolio value.

RISK TOLERANCE

Rank your risk tolerance on a scale of 0 to 10, with 0 being low volatility and 10 being high volatility.

Risk Tolerance _____

OTHER

Other Information